

UNITED STATES DISTRICT COURT

District of Utah

UNITED STATES OF AMERICA

v.

CURTIS LYNN DEYOUNG

JUDGMENT IN A CRIMINAL CASE

Case Number: DUTX 2:15CR00104-001 DN

USM Number: 21365-081

Robert Hunt

Defendant's Attorney

THE DEFENDANT:

pleaded guilty to count(s) 2s and 17s of the Superseding Indictment

pleaded nolo contendere to count(s) _____
which was accepted by the court.

was found guilty on count(s) _____
after a plea of not guilty.

The defendant is adjudicated guilty of these offenses:

Title & Section	Nature of Offense	Offense Ended	Count
18 U.S.C. § 1341	Mail Fraud		2s
18 U.S.C. § 1623	False Declaration before a Court of the United States		17s

The defendant is sentenced as provided in pages 2 through 8 of this judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

The defendant has been found not guilty on count(s) _____

Count(s) 1-15, 1s, 3s-16s, 18s is are dismissed on the motion of the United States.

It is ordered that the defendant must notify the United States attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant must notify the court and United States attorney of material changes in economic circumstances.

11/22/2016
Date of Imposition of Judgment


Signature of Judge

David Nuffer, U.S. District Judge
Name and Title of Judge

12/1/16
Date

FILED
U.S. DISTRICT COURT
2016 DEC -1 4:33
DISTRICT OF UTAH
BY: DEPUTY CLERK

DEFENDANT: CURTIS LYNN DEYOUNG
CASE NUMBER: DUTX 2:15CR00104-001 DN

IMPRISONMENT

The defendant is hereby committed to the custody of the Federal Bureau of Prisons to be imprisoned for a total term of:

120 months.

The court makes the following recommendations to the Bureau of Prisons:

The court recommends the defendant be housed at the Englewood, Colorado facility to accommodate family visitation.

The defendant is remanded to the custody of the United States Marshal.

The defendant shall surrender to the United States Marshal for this district:

at _____ a.m. p.m. on _____.

as notified by the United States Marshal.

The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons:

before 2 p.m. on _____.

as notified by the United States Marshal.

as notified by the Probation or Pretrial Services Office.

RETURN

I have executed this judgment as follows:

Defendant delivered on _____ to _____

a _____, with a certified copy of this judgment.

UNITED STATES MARSHAL

By _____
DEPUTY UNITED STATES MARSHAL

DEFENDANT: CURTIS LYNN DEYOUNG
CASE NUMBER: DUTX 2:15CR00104-001 DN

SUPERVISED RELEASE

Upon release from imprisonment, you will be on supervised release for a term of : 36 months.

MANDATORY CONDITIONS

1. You must not commit another federal, state or local crime.
2. You must not unlawfully possess a controlled substance.
3. You must refrain from any unlawful use of a controlled substance. You must submit to one drug test within 15 days of release from imprisonment and at least two periodic drug tests thereafter, as determined by the court.
 The above drug testing condition is suspended, based on the court's determination that you pose a low risk of future substance abuse. *(check if applicable)*
4. You must cooperate in the collection of DNA as directed by the probation officer. *(check if applicable)*
5. You must comply with the requirements of the Sex Offender Registration and Notification Act (42 U.S.C. § 16901, *et seq.*) as directed by the probation officer, the Bureau of Prisons, or any state sex offender registration agency in the location where you reside, work, are a student, or were convicted of a qualifying offense. *(check if applicable)*
6. You must participate in an approved program for domestic violence. *(check if applicable)*

You must comply with the standard conditions that have been adopted by this court as well as with any other conditions on the attached page.

DEFENDANT: CURTIS LYNN DEYOUNG
CASE NUMBER: DUTX 2:15CR00104-001 DN

STANDARD CONDITIONS OF SUPERVISION

As part of your supervised release, you must comply with the following standard conditions of supervision. These conditions are imposed because they establish the basic expectations for your behavior while on supervision and identify the minimum tools needed by probation officers to keep informed, report to the court about, and bring about improvements in your conduct and condition.

1. You must report to the probation office in the federal judicial district where you are authorized to reside within 72 hours of your release from imprisonment, unless the probation officer instructs you to report to a different probation office or within a different time frame.
2. After initially reporting to the probation office, you will receive instructions from the court or the probation officer about how and when you must report to the probation officer, and you must report to the probation officer as instructed.
3. You must not knowingly leave the federal judicial district where you are authorized to reside without first getting permission from the court or the probation officer.
4. You must answer truthfully the questions asked by your probation officer.
5. You must live at a place approved by the probation officer. If you plan to change where you live or anything about your living arrangements (such as the people you live with), you must notify the probation officer at least 10 days before the change. If notifying the probation officer in advance is not possible due to unanticipated circumstances, you must notify the probation officer within 72 hours of becoming aware of a change or expected change.
6. You must allow the probation officer to visit you at any time at your home or elsewhere, and you must permit the probation officer to take any items prohibited by the conditions of your supervision that he or she observes in plain view.
7. You must work full time (at least 30 hours per week) at a lawful type of employment, unless the probation officer excuses you from doing so. If you do not have full-time employment you must try to find full-time employment, unless the probation officer excuses you from doing so. If you plan to change where you work or anything about your work (such as your position or your job responsibilities), you must notify the probation officer at least 10 days before the change. If notifying the probation officer at least 10 days in advance is not possible due to unanticipated circumstances, you must notify the probation officer within 72 hours of becoming aware of a change or expected change.
8. You must not communicate or interact with someone you know is engaged in criminal activity. If you know someone has been convicted of a felony, you must not knowingly communicate or interact with that person without first getting the permission of the probation officer.
9. If you are arrested or questioned by a law enforcement officer, you must notify the probation officer within 72 hours.
10. You must not own, possess, or have access to a firearm, ammunition, destructive device, or dangerous weapon (i.e., anything that was designed, or was modified for, the specific purpose of causing bodily injury or death to another person such as nunchakus or tasers).
11. You must not act or make any agreement with a law enforcement agency to act as a confidential human source or informant without first getting the permission of the court.
12. If the probation officer determines that you pose a risk to another person (including an organization), the probation officer may require you to notify the person about the risk and you must comply with that instruction. The probation officer may contact the person and confirm that you have notified the person about the risk.
13. You must follow the instructions of the probation officer related to the conditions of supervision.
14. You must submit your person, residence, office or vehicle to search, conducted by the probation office at a reasonable time and in a reasonable manner based upon reasonable suspicion of contraband or evidence of a violation of a condition of release; failure to submit to a search may be grounds for revocation; you must warn any other residents that the premises may be subject to searches pursuant to this condition.

U.S. Probation Office Use Only

A U.S. probation officer has instructed me on the conditions specified by the court and has provided me with a written copy of this judgment containing these conditions. For further information regarding these conditions, see *Overview of Probation and Supervised Release Conditions*, available at: www.uscourts.gov.

Defendant's Signature _____

Date _____

DEFENDANT: CURTIS LYNN DEYOUNG
CASE NUMBER: DUTX 2:15CR00104-001 DN

SPECIAL CONDITIONS OF SUPERVISION

1. The defendant shall participate in a mental health treatment program under a copayment plan as directed by the probation office, take any mental health medications as prescribed, and not possess or consume alcohol, nor frequent businesses where alcohol is the primary item of order, during the course of treatment or medication.
2. The defendant is to inform any employer or prospective employer of current conviction and supervision status.
3. The defendant shall not enter into any self-employment while under supervision without prior approval of the Court. Given the defendant's history of fraud related to retirement investments accounts he managed, he should not have employment in this manner or in investment advising while on supervised release; any exceptions will require prior approval of the Court.
4. The defendant shall refrain from incurring new credit charges or opening additional lines of credit unless in compliance with any established payment schedule and obtains the prior written approval of the probation office.
5. The defendant shall provide the U.S. Probation Office complete access to all business and personal financial information.
6. The defendant shall not be employed in any fiduciary capacity or any position allowing access to credit or personal information of others, unless the defendant's employer is fully aware of the offense of conviction and the U.S. Probation Office approves in writing in advance of the employment being held.
7. The defendant shall cooperate with the Internal Revenue Service, file all outstanding tax returns, and pay all outstanding taxes, interest, and penalties.
8. The defendant shall maintain not more than one personal and/or business checking/ savings account and shall not open, maintain, be a signatory on, or otherwise use any other financial institution account without the prior written approval of the U.S. Probation Office.
9. The defendant shall not transfer, sell, give away, or otherwise convey any asset with a value of \$500 or more without the prior written approval of the U.S. Probation Office.
10. The defendant shall not be employed by, affiliated with, own or control, or otherwise participate, directly or indirectly, in the business of Financial Planning/Investing without the prior written approval of the Court.
11. The defendant shall apply all monies received from income tax refunds, lottery winnings, settlements, judgments, and/or anticipated or unexpected financial gains to outstanding Court-ordered financial obligations.
12. The defendant shall be placed on the Statefinder and Treasury Offset programs, requiring any state and federal tax refunds be intercepted for purposes of Court ordered financial obligations.
13. The defendant shall notify the probation office and the United States Attorney's Office of any material change in the defendant's economic circumstances that might affect the defendant's ability to pay Court-ordered financial obligations. The defendant shall also notify the probation office and the United States Attorney's Office of any change of employment, or increase or decrease of income.
14. The defendant shall notify the U.S. Probation Office within 72 hours of acquiring or changing any type of communications device, including pagers, cellular telephones, personal telephones, business telephones, electronic mail addresses, twitter accounts, or web addresses.
15. The defendant to comply with the orders entered in 2:14-CV-309-RJS.

DEFENDANT: CURTIS LYNN DEYOUNG
CASE NUMBER: DUTX 2:15CR00104-001 DN

CRIMINAL MONETARY PENALTIES

The defendant must pay the total criminal monetary penalties under the schedule of payments on Sheet 6.

	<u>Assessment</u>	<u>JVTA Assessment*</u>	<u>Fine</u>	<u>Restitution</u>
TOTALS	\$ 200.00	\$ 0.00	\$ 0.00	\$ 24,998,422.65

The determination of restitution is deferred until _____. An *Amended Judgment in a Criminal Case (AO 245C)* will be entered after such determination.

The defendant must make restitution (including community restitution) to the following payees in the amount listed below.

If the defendant makes a partial payment, each payee shall receive an approximately proportioned payment, unless specified otherwise in the priority order or percentage payment column below. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.

Name of Payee	Total Loss**	Restitution Ordered	Priority or Percentage
Diane Thompson		\$24,998,422.65	
Ballard Spahr LLP One Utah			
Center, Suite 800201			
South Main Street, Salt Lake City			
UT 84111-2221			

TOTALS \$ 0.00 \$ 24,998,422.65

Restitution amount ordered pursuant to plea agreement \$ _____

The defendant must pay interest on restitution and a fine of more than \$2,500, unless the restitution or fine is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on Sheet 6 may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).

The court determined that the defendant does not have the ability to pay interest and it is ordered that:

- the interest requirement is waived for the fine restitution.
- the interest requirement for the fine restitution is modified as follows:

* Justice for Victims of Trafficking Act of 2015, Pub. L. No. 114-22.
** Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

DEFENDANT: CURTIS LYNN DEYOUNG
CASE NUMBER: DUTX 2:15CR00104-001 DN

ADDITIONAL TERMS FOR CRIMINAL MONETARY PENALTIES

At the time of sentencing the government provided that a \$2,056,079 credit should be applied given the assets collected by the receiver directly from the defendant or from third-parties.

Diane Thompson, the court-appointed Receiver in the SEC's related civil case, has been identified as the restitution payee. Restitution will be paid to Ms. Thompson for distribution to the victims. When the receivership closes, Ms. Thompson will provide the Court Clerk with a list of the Defendant's victims; their mailing addresses; their individual loss amounts, which will total \$24,998,442.65; and the amount of funds that were distributed to each of the victims through the receivership. The Court Clerk shall substitute these victims in for the Receiver as the restitution payees, and shall credit to the balance owed to each victim the amount of funds each received through the receivership, as indicated in the Receiver's report. The victims in this case are tax qualified retirement plans, which are being transferred from the receivership to Equity Trust for management. The Receiver's report will indicate which of the victims remain with Equity Trust at the time of the receivership's closure. The Court Clerk shall then proceed by distributing restitution either directly to the individual victims, or to Equity Trust (as indicated in the report), who is contractually obligated to then remit to the victims who are tax qualified retirement plans, on a pro rata basis.

DEFENDANT: CURTIS LYNN DEYOUNG
CASE NUMBER: DUTX 2:15CR00104-001 DN

SCHEDULE OF PAYMENTS

Having assessed the defendant's ability to pay, payment of the total criminal monetary penalties is due as follows:

- A Lump sum payment of \$ 200.00 due immediately, balance due
- not later than _____, or
- in accordance with C, D, E, or F below; or
- B Payment to begin immediately (may be combined with C, D, or F below); or
- C Payment in equal _____ (e.g., weekly, monthly, quarterly) installments of \$ _____ over a period of _____ (e.g., months or years), to commence _____ (e.g., 30 or 60 days) after the date of this judgment; or
- D Payment in equal _____ (e.g., weekly, monthly, quarterly) installments of \$ _____ over a period of _____ (e.g., months or years), to commence _____ (e.g., 30 or 60 days) after release from imprisonment to a term of supervision; or
- E Payment during the term of supervised release will commence within _____ (e.g., 30 or 60 days) after release from imprisonment. The court will set the payment plan based on an assessment of the defendant's ability to pay at that time; or
- F Special instructions regarding the payment of criminal monetary penalties:

The defendant shall pay the greater of \$25 per calendar quarter or 50% of his income while incarcerated. If the defendant receives more than \$200 from any outside source in any calendar month during the period of incarceration, all funds received in excess of \$200 that month shall be paid toward restitution. The defendant shall pay restitution at a minimum rate of \$250 per month upon release from incarceration. The Court suggested the probation office request a hearing be held upon the defendant's release to review the restitution payment amount.

Unless the court has expressly ordered otherwise, if this judgment imposes imprisonment, payment of criminal monetary penalties is due during the period of imprisonment. All criminal monetary penalties, except those payments made through the Federal Bureau of Prisons' Inmate Financial Responsibility Program, are made to the clerk of the court.

The defendant shall receive credit for all payments previously made toward any criminal monetary penalties imposed.

- Joint and Several

Defendant and Co-Defendant Names and Case Numbers (including defendant number), Total Amount, Joint and Several Amount, and corresponding payee, if appropriate.

- The defendant shall pay the cost of prosecution.
- The defendant shall pay the following court cost(s):
- The defendant shall forfeit the defendant's interest in the following property to the United States:

See Money Judgment ordered on 10/5/2016 in the amount of \$24,998,422.65

Payments shall be applied in the following order: (1) assessment, (2) restitution principal, (3) restitution interest, (4) fine principal, (5) fine interest, (6) community restitution, (7) JVT A assessment, (8) penalties, and (9) costs, including cost of prosecution and court costs.